

Clerk of the House of Representatives
 Legislative Resource Center
 B-106 Cannon Building
 Washington, DC 20515
<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
 Office of Public Records
 232 Hart Building
 Washington, DC 20510
<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Organization/Lobbying Firm Self Employed Individual
 Caterpillar Inc.

2. Address
 Address1 1425 K Street, N.W. Address2 Suite 400
 City Washington State DC Zip Code 20005 Country USA

3. Principal place of business (if different than line 2)

City _____ State _____ Zip Code _____ Country _____

4a. Contact Name **b. Telephone Number** **c. E-mail**
 Mr. Christopher J Myers 2024660662 Myers_Chris@cat.com

5. Senate ID#
 8475-12

7. Client Name Self Check if client is a state or local government or instrumentality
 Caterpillar Inc.

6. House ID#
 313190000

TYPE OF REPORT

8. Year 2013 Q1 (1/1 - 3/31) Q2 (4/1 - 6/30) Q3 (7/1 - 9/30) Q4 (10/1 - 12/31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying Issue Activity

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$5,000

\$5,000 or more \$ _____

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$5,000

\$5,000 or more \$ 610,000.00

14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions only

Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Digitally Signed By: Christopher J. Myers, Washington Manager, Govt Affairs

Date

10/21/2013

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FIN

16. Specific lobbying issues

Export-Import Bank. Legislation to reauthorize the US Export-Import Bank, Roy Hill Project.
Export-Import Bank. U.S. environmental guidelines and financing

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Export-Import Bank of the United States (EXIM Bank)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
William	Lane		Director, Global Government & Corporate Affairs	<input type="checkbox"/>
Jason	Lynn		Washington Manager, Govt Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>
Katie	Hays		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRD

16. Specific lobbying issues

Andean Trade Preference Act - H.R.622, H.R.913, S.380; China Currency - H.R.639, S.1619; Cuba Sanctions - H.R.255, H.R. 1887; Trade Promotion Authority - S.98; Increasing American Jobs Through Greater Exports to Africa Act of 2012 -H.R.4221, S.2215; African Investment and Diaspora Act - H.R. 656, S. 2007

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
William	Lane		Director, Global Government & Corporate Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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15. General issue area code TRD

16. Specific lobbying issues

GSP - H.R.913, S.433
LDC Trade Relief - S.1443

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
William	Lane		Director, Global Government & Corporate Affairs	<input type="checkbox"/>
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15. General issue area code TRD

16. Specific lobbying issues

AGOA. Legislation to extend the African Growth and Opportunity Act.
China Currency. Legislation (H.R.1276) to address China's manipulation of its currency.
Cuba Sanctions. Legislation (H.R. 214, H.R.872) to lift the US trade embargo on Cuba

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
William	Lane		Director, Global Government & Corporate Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

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15. General issue area code TRD

16. Specific lobbying issues

Developing Countries. Legislation (S.431, S.432) to provide duty-free access to least developed countries.

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
William	Lane		Director, Global Government & Corporate Affairs	<input type="checkbox"/>
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15. General issue area code TRD

16. Specific lobbying issues

Trade Adjustment Assistance. Legislation extending Trade Adjustment Assistance programs.
Trade Promotion Authority. Legislation to renew special Presidential trade negotiating authority.

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

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15. General issue area code TRD

16. Specific lobbying issues

Trans-Pacific Partnership

US-EU Transatlantic Trade Investment Partnership

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

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William	Lane		Director, Global Government & Corporate Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

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15. General issue area code DEF

16. Specific lobbying issues

2014 Defense Appropriations H.R. 2397

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Justin	Gibbons		Washington Manager, Govt & Defense Products	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENG

16. Specific lobbying issues

Stop the War on Coal Act - H.R. 3409
H.R. 2273 & S.1751 Coal Residuals Reuse and Management Act
H.R.3, Northern Route Approval Act
S.1000, The Energy Savings and Industrial Competitiveness Act

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Jason	Lynn		Washington Manager, Govt Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRA

16. Specific lobbying issues

H.R. 2084 Partnership to Build America Act of 2013

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Jason	Lynn		Washington Manager, Govt Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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15. General issue area code HOM

16. Specific lobbying issues

Support - The Cybersecurity Act of 2013, S. 1353

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays		Washington Manager, Govt Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CPT

16. Specific lobbying issues

Patent Reform Legislation

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays		Washington Manager, Govt Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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15. General issue area code EDU

16. Specific lobbying issues

WIA, S.1356, H.R.803 Skills Act
America Works Act, H.R. 4927

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays		Washington Manager, Govt Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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15. General issue area code HCR

16. Specific lobbying issues

Implementation of ACA (P.L. 111-148)
Employer Mandate
Employer Reporting
Transitional Reinsurance

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays		Washington Manager, Govt Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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15. General issue area code LBR

16. Specific lobbying issues

H.R. 2117 - Pension Reform

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays		Washington Manager, Govt Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code IMM

16. Specific lobbying issues

S.744 - The Boarder Security, Economic Opportunity, and Immigration Modernization Act
H.R. 2131- Skills Visa Act
H.R. 1772 - Legal Workforce Act (E-Verify)

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays		Washington Manager, Govt Affairs	<input type="checkbox"/>
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Administration's tax proposal in FY 2012 and 2013 budget

Last-in first-out inventory method of accounting.

Legislation to increase and make permanent the alternative simplified R&E credit.

Corporate Tax reform.

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Treasury - Dept of, Executive Office of the President (EOP)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code BUD

16. Specific lobbying issues

Budget deficit reform

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Treasury - Dept of, Executive Office of the President (EOP)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FIN

16. Specific lobbying issues

Dodd-Frank Title VII

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>

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15. General issue area code TAR

16. Specific lobbying issues

Legislation to temporarily suspend the duty on certain remanufactured products

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Chris	Myers		Washington Manager, Govt Affairs	<input type="checkbox"/>
William	Lane		Director, Global Government & Corporate Affairs	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
 City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suffix
1			3		
2			4		

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)
	Street Address	City	State/Province	Zip	
				City	State
					Country

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1 **2** **3**

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address	City	State/Province			
				City		
				State		
				Country		

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1 **2** **3** **4** **5** **6**